

# Bank Directors Institute At Duke University

May 18-19, 2018

Banking organizations operate in a constantly evolving competitive landscape. Changes to regulation, cyber threats, emerging financial technology, and rising interest rates all present challenges, as well as opportunities, for regional and large community banks. In addition, lapses in corporate governance at large banks continue to erode consumers' trust in legacy financial institutions and harm franchise value.

Guiding a regional or large community bank through such turbulence can feel like a daunting task for directors and senior executives. By hearing from renowned experts on critical banking topics, this program will equip attendees with the knowledge and perspective necessary to address the most pressing issues facing regional and community banks today. This program is ideal for Chairmen, Presidents/CEOs, Directors, Senior Officers, Advisors, Counsel, Consultants, and Corporate Secretaries. The program is hosted by the Global Financial Markets Center at Duke Law in collaboration with the American Association of Bank Directors.

## Friday May 18, 2018

8:00 a.m.	<b>Registration &amp; continental breakfast</b>
8:30 a.m.	<b>Welcome:</b>
8:50 a.m.	<b>Regulatory and Legislative Updates</b> Moderator: Michael Krimminger – Cleary Gottlieb Panelist 1: John Ryan – Conference of State Bank Supervisors Panelist 2: Michael Johnson – Executive Vice President, Supervision and Regulation at Federal Reserve Bank of Atlanta Panelist 3: Dennis Kelleher – President and CEO, Better Markets
10:00 a.m.	<b>Break</b>
10:15 a.m.	<b>M&amp;A and Shareholder Activism</b> Moderator: Richard Brand - Cadwalader Panelist 1: Jim Barresi – Squire Patton Boggs Panelist 2: Scott R. Anderson - Keefe, Bruyette & Woods Panelist 3: Allen Rogers - Ewing Capital Partners, LLC
11:30 a.m.	<b>Break (Pick up lunches)</b>
11:45 a.m.	<b>Luncheon Speech: Topic</b>

	Introduction: Speaker:
12:45 p.m.	<b>Break</b>
1:00 p.m.	<b>Cyber Security</b> Moderator: Katherine Mooney Carroll – Cleary Gottlieb Panelist 1: John Reed Stark - John Reed Stark Consulting, LLC Panelist 2: Thomas H. Bentz Jr. - Holland & Knight Panelist 3: Linda Hudson – The Cardea Group
2:15 p.m.	<b>Break</b>
2:30 p.m.	<b>Financial Technology</b> Moderator: Shami Patel – CEO of LumiGrow Panelist 1: Kathleen M. Hamm - Global Leader of Securities and Fintech Services at Promontory Panelist 2: Beth Knickerbocker - Chief Innovation Officer at the Office of the Comptroller of the Currency (OCC) Panelist 3: Gareth Genner- General Counsel at TrustStamp
3:30 p.m.	<b>Break</b>
3:45 p.m.	<b>Governance and Strategic Planning</b> Moderator: Joseph A. Smith Jr. – Partner at Poyner Spruill and Former North Carolina Commissioner of Banks Panelist 1: Ben McDonough – Assistant General Counsel at the Board of Governors of the Federal Reserve System Panelist 2: Doug Roeder - PwC Panelist 3: David Baris – President of the American Association of Bank Directors
5:15 p.m.	<b>Break</b>
6:30 p.m.	<b>Reception at the Washington Duke</b>
7:30 p.m.	<b>Conference Dinner at the Washington Duke</b> Host: Speaker:

**Saturday  
May 19, 2018**

8:00 a.m.	<b>Registration &amp; continental breakfast</b>
8:30 a.m.	<b>Wells Fargo Case Study</b>

9:45 a.m.	Break
10:00 a.m.	<b>AML/OFAC</b>
	Moderator: Scott Cammarn - Cadwalader
	Panelist 1: Jodi Avergun - Cadwalader
	Panelist 2: Jamal El-Hindi, Acting Director - Financial Crimes Enforcement Network
	Panelist 3: Dan Soto, Chief Compliance Officer – Ally Financial
11:00 a.m.	<b>Break</b>
11:15 a.m.	<b>Regional Bank Earnings Environment</b>
	Moderator:
	Panelist 1: Rick Callicutt - chairman of the Carolinas and Virginia region at Pinnacle Financial Partners
	Panelist 2:
	Panelist 3:
12: 15 p.m.	<b>Closing remarks</b>
	Lawrence Baxter - William B. McGuire Professor of the Practice of Law at Duke University and co-director Global Financial Markets Center
12:30 p.m.	<b>Conference concludes</b>